

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Craig H. Rowland

Title: *Method and System for Reducing the False Alarm Rate of
Network Intrusion Detection Systems*

Filed: October 15, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

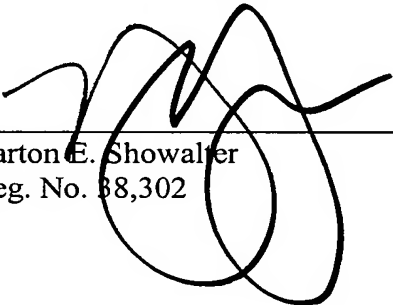
INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, that the references listed on the attached PTO Form 1449 be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Since the present Application was filed after June 30, 2003, a copy of any U.S. Patent and any U.S. Patent Application Publication cited on the attached PTO Form 1449 is not being submitted with this Information Disclosure Statement pursuant to the July 11, 2003 waiver of 37 C.F.R. S 1.98(a)(2)(i) by the U.S. Patent and Trademark Office.

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts, L.L.P.

Respectfully submitted,
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Date: October 15, 2003

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Patent Trademark Office

PTO-1449		Application No. To be Assigned		Applicant Craig H. Rowland, et al			
Information Disclosure Citation in an Application		Docket Number 062891.1166		Group Art Unit		Filing Date October 15, 2003	
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	5,919,257	07/06/1999	Trostle	713	200	08/08/1993
	B.	6,182,223 B1	01/30/2001	Rawson	713	200	06/10/1998
	C.	6,279,113 B1	08/21/2001	Vaidya	713	201	06/04/1998
	D.	6,282,546 B1	08/28/2001	Gleichauf et al.	707	102	06/30/1998
	E.	6,405,318 B1	06/11/2002	Rowland	713	200	03/12/1999
	F.	6,408,391 B1	06/18/2002	Huff et al.	713	201	05/06/1998
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	H.	6,460,141 B1	10/01/2002	Olden	713	201	10/28/1998
	I.	6,477,651 B1	11/05/2002	Teal	713	200	01/08/1999
	J.	6,513,122 B1	01/28/2003	Magdych et al.	713	201	06/29/2001
	K.						
	L.						
	M.						
	N.						
	O.						

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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	P.	WO 02/19077 A2	03/07/2002	PCT	G06F	1/00	X	
	Q.	985 995 A1	03/15/2000	EPO	G06F	1/00	X	
	R.	WO 01/84270 A2	11/08/2001	PCT	G06F		X	
	S.							

NON-PATENT DOCUMENTS			
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	T.	"Know your Enemy: Passive Fingerprinting – Identifying remote hosts, without them knowing", Honeynet Project, http://project.honeynet.org (4 pages)	03/04/2002
	U.	Toby Miller, "Passive OS Fingerprinting: Details and Techniques", The SANS Institute, http://www.incidents.org/papers/OSfingerprinting.php (19 pages)	2001-2002 (part 1) 2003 (part 2)
	V.	U.S. Patent Application Serial No. 10/402,649, filed March 28, 2003, entitled <i>Method and System for Reducing The False Alarm Rate of Network Intrusion Detection System</i> , by inventors Craig Rowland and Aaron Rhodes (Attorney Docket No. 062891.0920)	03/28/2003
	W.	U.S. Patent Application Serial No. 10/439,030, filed May 14, 2003, entitled <i>Method and System for Analyzing and Addressing Alarms From Network Intrusion Detection Systems</i> , by inventors Rowland, et al. (Attorney docket No. 062891.0921)	05/14/2003
	X.	PCT Notification of Transmittal of the International Search Report or the Declaration, mailed July 21, 2003, including International Search Report re PCT/US 03/09665 (6 pgs)	07/21/2003
	Y.		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

U.S. Patent and Trademark Office

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